



OFFICE OF RAIL AND ROAD

Mr Nigel Day
VTG Rail UK Ltd.
Two Parklands
Parklands Business Park
Rubery
Birmingham
B45 9PZ

Your Ref

Our Ref

Case Ref PRM-IOP-0320
EIN UK/53/2019/0002

10th July 2019

Contact: Paul Fray

HM Inspector of Railways, Senior Engineer
One Kemble Street
London
WC2B 4AN

Dear Nigel,

**THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED
AUTHORISATION OF HOA(B) AGGREGATE WAGONS**

I refer to your application for authorisation, received on the 30th June 2019. I also refer to your CSM-REA Article 16 Declaration of Control of Risk referenced HOAB-D02, dated 28th June 2019, and Safety Assessment Report, reference ACS/9339/R02 dated 27th June 2019.

Following review of your application, I can confirm that ORR grants a first authorisation under regulation 4(1)(a) of the Railways (Interoperability) Regulations 2011, as amended. This authorisation is for the placing in service of HOA(B) Aggregate Hopper Wagons (Complete number range as per Appendix 1).

I also refer to the EC Declaration of Verification, reference HOAB-D01, dated 30th May 2019 where I note the references to the Notified Body TSI Certificates and Designated Body NNTR Certificates

The conditions and constraints of use on the structural subsystem are those contained on the EC Declaration of Verification reference HOAB-D01, dated 30th May 2019 and contained in your technical file, reference 1125/2019/RST/23159/TF issue 1 , dated 28th May 2019.

The rolling stock subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that under Regulation 36, the person who applied for the authorisation shall send particulars to the Registration Entity to enable the registration entity to enter the information on the National Vehicle Register. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service will be issued with a determination of type in accordance with Commission Implementing Decision 2011/665/EC. The person who applied for the authorisation to place in service will receive the type authorisation after providing the data to the Registration Entity in accordance with Annex II of Commission Implementing Decision 2011/665/EC.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this rolling stock subsystem(s).

This decision letter will be published on ORR website.

Yours sincerely



Ian Prosser
Director, Railway Safety Directorate ORR

Cc

Steve Fletcher	Deputy Director, Engineering & Asset ORR
Ian Jones	Head of Interoperability, DfT
David Galloway	Head of Vehicle Compatibility, Network Rail
National Vehicle Register	nvr@networkrail.co.uk
Patrick Talbot	HM Principal Inspector of Railways, ORR
Paul Hooper	Interoperability Manager ORR

Appendix 1- Wagon Numbers

81706774001-6	81706774013-1	81706774025-5
81706774002-4	81706774014-9	81706774026-3
81706774003-2	81706774015-6	81706774027-1
81706774004-0	81706774016-4	81706774028-9
81706774005-7	81706774017-2	81706774029-7
81706774006-5	81706774018-0	81706774030-5
81706774007-3	81706774019-8	81706774031-3
81706774008-1	81706774020-6	81706774032-1
81706774009-9	81706774021-4	81706774033-9
81706774010-7	81706774022-2	81706774034-7
81706774011-5	81706774023-0	81706774035-4
81706774012-3	81706774024-8	