

Burhan AbdalEnus  
Plasser UK Ltd  
Manor Road  
West Ealing  
London  
W13 0PP

Case Ref PRM-IOP-0300

EIN UK/54/2020/0001

18<sup>th</sup> February 2020  
**Contact: Paul Fray**  
**HM Inspector of Railways**  
25 Cabot Square  
London  
E14 4QZ

Dear Mr AbdalEnus

**THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED  
AUTHORISED TYPE OF VEHICLE – PLASSER 09-4X4/4S**

I refer to your application for authorisation, received on the 17<sup>th</sup> February 2020. Following review of your application, I can confirm that ORR grants a type authorisation under Regulation 9 of the Railways (Interoperability) Regulations 2011, as amended. This authorisation is for the placing in service of PLASSER 09-4X4/4S the following machines

- DR75012, EVN 99709123012-5
- DR75013, EVN 99709123013-3
- DR75014, EVN 99709123014-1
- DR75015, EVN 99709123015-8

The restrictions or limitations of use on the structural subsystem are those contained within:

Your declaration to type: 200107\_Declaration of Conformity to an Authorised Type of Vehicle – Plasser 09-4x4\_4s

And your technical file Technical File RTUKR-T40712-002 Issue 1 for Unimat 09-4X4/4S Tampers – Modifications to the Type Ref 71-014-001-3-001 for Plasser UK on behalf of Plasser & Theurer Export von Bahnbaumaschinen Gesellschaft m.b.H

Pummererstraße 5,A-4021 Linz,.Austria

Which contains:

#### Notified Body Certificates

Module SB Type Examination: 1133-1-SB-2019-RST-ENEN-0056, Issue 1

Module SD Quality Management System Approval: 1133-4-SD-2019-RST-ENEN-0057, Issue 1

Module SD Certificate of Verification: 1133-6-SD-2019-RST-ENEN-0058, Issue 1

and

#### Designated Body Certificates

Module SB Type Examination: 0021-1-SB-2019-RST-ENEN-0059, Issue 1

Module SD Quality Management System Approval: 0021-4-SD-2019-RST-ENEN-0060, Issue 1

Module SD Certificate of Verification: 0021-6-SD-2019-RST-ENEN-0061, Issue 1

The rolling stock subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that under Regulation 36, the person who applied for the authorisation shall send particulars to the Registration Entity to enable the registration entity to enter the information on the National Vehicle Register. This will include such further

information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service will be issued with a determination of type in accordance with Commission Implementing Decision 2011/665/EC. The person who applied for the authorisation to place in service will receive the type authorisation after providing the data to the Registration Entity in accordance with Annex II of Commission Implementing Decision 2011/665/EC.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this rolling stock subsystem(s).

Yours sincerely

A handwritten signature in black ink, appearing to read 'Steve Fletcher', with a stylized, cursive script.

**Steve Fletcher**  
**Deputy Director, Railway Planning and Performance**

Cc

Ian Jones                      Head of Interoperability, Safety and Standards DfT

Patricia Strickfuss              Registration Entity, National Vehicle Register