

Mr Jose Alhambra Martinez
Stadler Rail Valencia S.A.U.
Pol. Ind. del Mediterráneo. Mitjera 6
E-46550 Albuixech (Valencia)
Spain

Your Ref: BB233/ORR/001

Case Ref: PRM-IOP-0394

IN Number: UK/51/2023/0008

14th December 2023

Contact: Adam Buckland

2 Rivergate
Temple Quay
Bristol
BS1 6EH

Dear Mr Jose Alhambra Martinez,

**THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED
AUTHORISATION TO PLACE IN SERVICE OF CLASS 93 LOCOMOTIVE**

I refer to your application for authorisation, received on the 20th November 2023. Following review, I can confirm that ORR grants authorisation under regulation 4(1)(a) of the Railways (Interoperability) Regulations 2011, as amended. This authorisation is for the Class 93 locomotives shown in Appendix 1.

I refer to your UK Declaration of Verification ES/00000000101052/2023/000005 dated 20th November 2023. The restrictions or limitations of use on the structural subsystem are those contained in section 9 of your Technical File report reference BB23307000004 dated 20th November 2023.

I also refer to your Declaration according to Article 16 (EU) 402/2013 as amended, reference BB23307000006 dated 20th November 2023.

The Rolling Stock and Control Command and Signalling subsystems authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that under Regulation 36, the person who applied for the authorisation shall send particulars to the Registration Entity to enable the registration entity to enter the information on the National Vehicle Register. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service may apply to the ORR for a determination of type in accordance with Commission Implementing Decision 2018/1614 as amended by Regulation 20 of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this rolling stock subsystem(s).

This decision letter will be published on ORR's website.

Yours sincerely

Steve Fletcher
Deputy Director, Engineering & Asset Management
Cc

James Le Grice	Head of Interoperability, Safety and Standards DfT
David Galloway	Head of System Compatibility, Network Rail
Giles Turner	ORR Head of Interoperability & Rail Vehicle Engineering
ORR Interoperability	interoperability@orr.gov.uk
Peter Darling	ORR HM Inspector of Railways
National Vehicle Register	nvr@networkrail.co.uk

Appendix 1: Authorised Vehicle Numbers

GB short number	EVN
93 001	91 70 00 93001 - 1
93 002	91 70 00 93002 - 9
93 003	91 70 00 93003 - 7
93 004	91 70 00 93004 - 5
93 005	91 70 00 93005 - 2
93 006	91 70 00 93006 - 0
93 007	91 70 00 93007 - 8
93 008	91 70 00 93008 - 6
93 009	91 70 00 93009 - 4
93 010	91 70 00 93010 - 2