

Railway Safety Regulations 1999: Regulation 4

Certificate of Exemption

1. The Office of Rail and Road (ORR) exempts under regulation 6(1) of the Railway Safety Regulations 1999 (the '**Regulations**') the Mark I Rolling Stock listed in the Schedule from the requirements of regulation 4(1) of the Regulations, subject to the conditions listed below. In granting this exemption, ORR has carried out a review of the evidence provided by **West Coast Railway Company Limited (Company Number 03066109)** (the '**Company**') in support of the exemption request, consulted as required by regulation 6(2) and had regard to the matters set out in regulation 6(3) of the Regulations.
2. In this exemption:

"Mark I Rolling Stock" has the same meaning as in the Regulations;

"Railway" has the same meaning as in the Regulations;

"Safety Management System" has the meaning it has in the Railways and Other Guided Transport Systems (Safety) Regulations 2006 (ROGS).
3. This exemption applies to the Mark I Rolling Stock listed in the Schedule (the '**Rolling Stock**') and is granted subject to the following conditions:
 - (a) The Company must ensure that the Rolling Stock:
 - (i) have buckeye couplers fitted with shelf brackets (except when coupled to a locomotive not fitted with buckeye couplers);
 - (ii) have crash pillars of an equivalent or greater strength than the original British Rail design; and
 - (iii) have unique identifying numbers.
 - (b) The operator must ensure that the Rolling Stock is not used for passenger services on a Railway unless there is a Safety Management System which covers the operation of the Rolling Stock;
 - (c) The operator must ensure that, when formed as a rake, the Rolling Stock must have the same buffing height (within maintenance limits);
 - (d) The operator must ensure that the Rolling Stock shall not operate:
 - (i) at a speed in excess of 75 mph (120 kph) for steam operations; or
 - (ii) at a speed exceeding the plated speed of the coach for electric or diesel operations.

- (e) The Company must ensure that maintenance is performed in accordance with the contents of the document “*Document Number VMI101, West Coast Railway CRRES, Inspection & Repair of Corrosion in Mk1 & Mk2 Coaching Stock Bodies, Nov 2019*”, as updated from time to time, in so far as these updates are not substantial.
 - (f) The Company must ensure that there are records available demonstrating that the Rolling Stock has been maintained in accordance with the document listed in condition (e) above.
 - (g) The Company must ensure that passenger loadings do not exceed the total seating capacity available within the relevant Rolling Stock.
 - (h) The Company must ensure that ORR is notified at least 12 weeks in advance and in writing, of any proposed changes from established processes and procedures as set out within the application.
 - (i) The Company must ensure that ORR is notified of any changes relating to the Rolling Stock. This includes the withdrawal of and/or acquisition of Mark I Rolling Stock.
4. This exemption is valid from 1 April 2023 until 31 March 2028.
5. Under regulation 6(1) of the Regulations, ORR may revoke the whole or any part of this exemption, at any time, in writing.



Signed by authority of ORR

28 March 2023



Schedule

The Mark I Rolling Stock to which this exemption applies are:

Vehicle Number	Type	Date Built
325	Mk1 Kitchen Car	1961
326	Mk1 PPS Pullman	1960
1840	Mk1 RMB	1962
1860	Mk1 RMB	1962
1861	MK1 RMB	1962
1953	Mk1 Kitchen Car	1960
1961	Mk1 RK and Generator	1961
2833	Mk1 BSK	1964
3058	Mk1 FO	1959
3093	Mk1 FO	1963
3097	Mk1 FO	1959
3098	Mk1 FO	1959
3119	Mk1 FO	1963
3120	Mk1 FO	1963
3121	Mk1 FO	1963
3123	Mk1 FO	1963
3136	Mk1 FO	1963
3141	Mk1 FO	1963
3143	Mk1 FO	1963
3146	Mk1 FO	1963

3147	Mk1 FO	1963
3149	Mk1 FO	1963
4849	Mk1 TSO	1959
4854	Mk1 TSO	1959
4905	Mk1 TSO	1961
4940	Mk1 TSO	1962
4951	Mk1 TSO	1962
4959	Mk1 TSO	1962
4960	Mk1 TSO	1962
4973	Mk1 TSO	1962
4984	Mk1 TSO	1962
4991	Mk1 TSO	1962
4994	Mk1 TSO	1962
4998	Mk1 TSO	1962
5009	Mk1 TSO	1963
5032	Mk1 TSO	1962
5035	Mk1 TSO	1962
13306	Mk1 FO	1961
13320	Mk1 FK	1962
21266	Mk1 BCK	1964
35447	Mk1 NNX	1963
80204	Mk1 NNX	1962
80217	Mk1 NNX	1962

92904	Mk1 Baggage	1956
95325	Mk1 FO PULLMAN (Originally numbered 325)	1960
99035	Mk1 BCK	1962
99052	Mk1 Observation Car	1892
99121	Mk1 FO	1955
99122	Mk1 FO	1955
99125	Mk1 FO	1955
99127	Mk1 FO	1955
99128	Mk1 FO	1955
99304	Mk1 BCK	1964
99312	Mk1 BSK	1963
99316	Mk1 FK	1962
99318	Mk1 TSO	1961
99326	Mk1 TSO	1962
99327	Mk1 TSO	1962
99328	Mk1 TSO	1962
99329	Mk1 TSO	1962
99311	Mk1 RMB	1962
99347	Mk1 PSK	1960
99348	Mk1 PSK	1960
99350	Mk1 PSK	1960
99351	Mk1 PSK	1960
99352	Mk1 PSK	1960

99354	Mk1 Bar Car	1960
99371	Mk1 FO	1963
99712	Mk1 SK	1962
99722	Mk1 TSO	1962
99723	Mk1 BSK	1962
99880	Mk1 Dining Car	1890
99881	Mk1 Gresley Teak	1912
99886	Mk1 Generator Car	1963
99990	Mk1 TSO (also referenced as 17025)	1959
999506	Mk1 Observation Car	1957

Schedule last updated: 6 April 2023