

Amanda White
West Midlands Combined Authority
16 Summer Lane
Birmingham
B19 3SD

Your Ref: WMCA-AAL-01 Rev 2

Our Ref: PRM-IOP-0376-S1-20220720-01

Case Ref PRM-IOP-0376

IN Number
UK/61/2022/0008

Date 20 July 2022

Contact: Cherry Lam

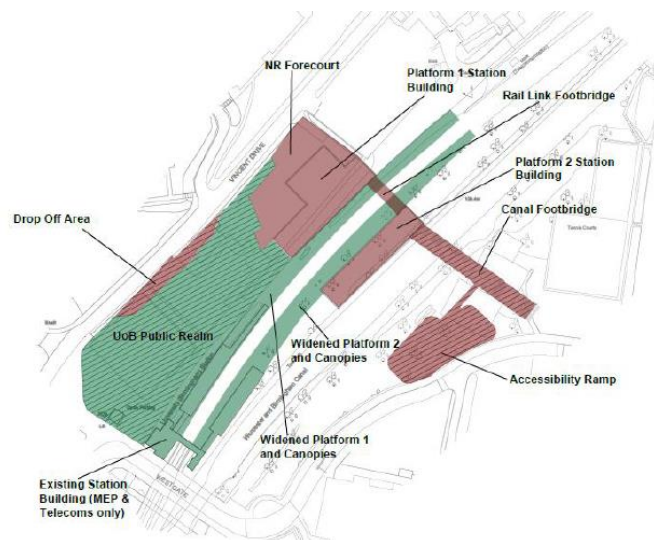
Dear Amanda,

THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED UNIVERSITY STATION - STAGE 1

I refer to your application for authorisation received on 8 July 2022 and subsequent updated technical file reference 765005-9028 R01 WMCA ApBo File, Issue 02, dated 14 July 2022. Following review of your application, I can confirm that ORR grants authorisation under regulation 4(1)(a) of the Railways (Interoperability) Regulations 2011, as amended.

This authorisation is for the placing in service of the following within the green non-hatched area.

- Widening of existing Platform 1 and 2 and provision of additional seating
- Installation of platform edge tactiles on Platform 1 and 2
- New canopies above Platform 1 and 2
- New lighting on Platform 1 and 2
- Storage facilities for boarding aids on Platform 1 and 2





This authorisation is defined by the following limits:

Location	ELR	Mileage
University Station	BAG1	44M 73Ch

The condition of use of the structural system is listed in the UK Declaration of Verification (Reference WMCA-UNISTG1-DOV-04, version 4.0, dated 14 July 2022). It is also described in the R01 WMCA ApBo File (Reference 765005-9028, Issue 02, dated 14 July 2022) and the R01 WMCA ApBo Assessment Report (Reference 765005-8640, Issue 02, dated 14 July 2022), contained in your technical file submission.

Condition	Clause	Requirement
1	PRM NTSN Clause 4.2.1.9	Lighting – Construction phase assessment of the lighting installation must be completed as detailed in PRM NTSN Table E.1 within four weeks of placing into use. Reference: ApBo UK Certificate of Verification and Annex 2673/6/SG/2022/INF/EN/N20221060V02, Issue 02, dated 14 July 2022 Completion date: by 17 August 2022

Closure of the above condition is to be completed by the date specified, confirmed by an appropriate Conformance Assessment Body and reported to ORR.

The Safety Assessment Report (Reference 765005-8588 UK-ASBO-110 GRIP6 SAR, Issue 01, dated 23 June 2022) undertaken by the Assessment Body confirms all Assessment Record observations are closed and concludes your correct application of CSM-RA.

Your Declaration of Control of Risk (DoCoR) (Reference WMCA-UNISTG1-DOCOR-04, version 4.0, dated 14 July 2022) states that all hazards, associated risks, safety requirements and safety measures resulting from the risk assessment have been controlled to an acceptable level. You have acknowledged the above condition in your DoCoR and confirmed that associated safety measures required are agreed with and accepted by West Midlands Trains.

The infrastructure subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT



decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that the person who applied for the authorisation shall send particulars to the owner of the infrastructure to enable the owner of the infrastructure to enter the items on the Register of Infrastructure in accordance with Table 1 of Commission Implementing Decision 2019/777 as amended by Regulations 21 to 30 of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service may apply to the ORR for a determination of type. You will receive the type authorisation after providing the relevant data to the ORR.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this infrastructure subsystem(s).

This decision letter will be published on ORR's website.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Steve Fletcher', written in a cursive style.

Steve Fletcher
Deputy Director, Engineering & Asset Management



Cc

Ian Jones	Head of Interoperability, Safety and Standards, DfT
David Galloway	Head of System Compatibility, Network Rail
Simon Taylor	Chair of NW&C System Review Panel, Network Rail
Pete Gracey	ORR Head of Interoperability and Rail Vehicle Engineering
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